

EXPRESS MAIL LABEL NO. EV161882488US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HAMMEN, Richard R., et al.
Serial No.: NOT YET ASSIGNED
Filed: SIMULTANEOUSLY HEREWITH
Title: U-FLOW VENTILATED SEALING HEAD
Docket: 310077.90348

INFORMATION DISCLOSURE STATEMENT

- ☒ 1. Pursuant to 37 CFR 1.97(b) [within 3 mos. of filing or prior to 1st Office Action]
- ☐ 2. Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
- ☐ 3. Fee Payment Pursuant to 37 CFR 1.97(c) before Final Office Action or Allowance]
- ☐ 4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) [before issue fee payment]

Honorable Commissioner of Patents and Trademarks
Attn: PCT Branch
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

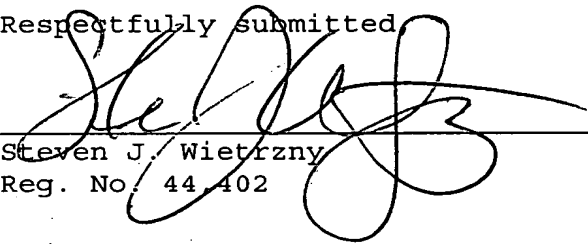
- ☒ 5. A list of documents on form PTO-1449, together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith. (This is the International Search Report issued in connection with International Application PCT/US03/11077.)

This paper is submitted in accordance with:

- ☒ 6. 37 CFR 1.97(b): [within 3 mos. of filing or prior to 1st Office Action]
- ☐ 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8. The required certification made in item 11 below; or

- [] 9. The \$230.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- [] 10. 37 CFR §1.97(d): [before issue fee payment]; and
- (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attn.: PETITIONS EXAMINER" and if applicable, include batch locator information: e.g. "Allowed Files, Batch _____, Date of Allowance _____"), and
- (b) The required Certification is stated in item 11 below.
- [] 11. Certification
- [] 12. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [] 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [X] 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 17-0055. An original and two (2) copies of this document are enclosed.

Respectfully submitted



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Sheet 1 of 1

Form PTO-1449 (Rev. 2-88)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 310077.90348		SERIAL NO. 3104511044	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT HAMMEN, et al.			
<i>(Use several sheets if necessary)</i>				FILING DATE 11 Oct 2004		GROUP Unknown	

U.S. PATENT DOCUMENTS							
* EXAMINER'S INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	1	US2003/0062130	4/3/03	Hammen et al.	156	380.6	
	2	6,153,864	11/28/00	Hammen et al.	219	632	
	3	US2001/0032839	10/25/01	Herzog	219	633	
	4	US2002/0020144	2/21/02	Sarles et al.	53	329	

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
					YES	NO	

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
	1	International Search Report issued under date of mailing of July 10, 2003 in connection with International Patent Appl. No. PCT/US03/11077.	

EXAMINER	DATE CONSIDERED
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* EXAMINER: Initial if a citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.